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## UTILITY PATENT APPLICATION **TRANSMITTAL**

Please type a plus sign (+) inside this box

NREL 01-36 Attorney Docket No. Shi-you Ding First Inventor THERMAL TOLERANT AVICELASE FROM ACIDOTHERMUS CELLULOLYTICUS

(Only for new nonprovisional applications under 37 CFR 1. 53(b))

Express Mail Label No. EJ 200217011 US

Section   Feet   Transmittal Form (e.g., PTO/SB/1 7)   CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)   Applicant claims small entity status.   Total Pages   43   1   Total Pages   44   Total Pages   45   Total Pages	APPLICATION ELEMENTS			ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Westigates PG 20224							
Submit an originate and se adaptication for the processing   Submit and originate and season and an adaptication   See 37 CFR 1.27.	See MPEP chapter 600 concerning utility patent application contents.				Washington, DC 20231						
Applicant daims small entity status.  Sepecification											
Specification   Total Pages   3	Applicant claims s	Applicant claims small entity status.				8. Nucleotide and/or Amino Acid Sequence Submission					
- Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Description of the Drawings (if Red) - Detailed Description - Claim(s) - Abstract of the Disclosure  4.				a. [	<u>''</u>	• •	CRF)				
- Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Description of the Drawings (if Red) - Detailed Description - Claim(s) - Abstract of the Disclosure  4.				h Sn	— ecification Sequence	Listing o	n·				
Reference to sequence listing, a table, or a computer program listing appendix Background of the Invention Brief Description of the Drawings (if Red) Detailed Description Claim(s) - Abstract of the Disclosure  4.  \[ \text{ Drawing(s)} (35 U.S. C. 1 13) \] Total Sheets \[ 2 \] 10. Oath or Declaration  10.  \[ \text{ Total Pages} \] 11.  \[ \text{ English Translation Document (if applicable)} \] 12.  \[ \text{ Information Disclosure} \] 13.  \[ \text{ Prewing(s)} (35 U.S. C. 1 13) \] Total Pages \[ 2 \] 14.  \[ \text{ Newly executed (original or copy)} \] 15. Oath or Declaration  16.  \[ \text{ Newly executed (original or copy)} \] 17.  \[ \text{ Original Statement (IDS)/PTO-1449} \] 18.  \[ \text{ Application Data Sheet under 37 CFR 1.53 (d)} \] 19.  \[ \text{ DELETION OF INVENTOR(S)} \] 10.  \[ \text{ Description of the Disclosure segment of the prior application, see 37 CFR} \] 18.  \[ \text{ If a Continuation distriction, see 37 CFR} \] 18.  \[ \text{ If a Continuation Data Sheet under 37 CFR 1.76} \] 19.  \[ \text{ Drawing(s) (35 U.S. C. 122 (b)(2)(13)(3). Applicant must attach form PTO/SB/35 or its equivalent.} \] 19.  \[ \text{ Drawing(s) Statement (IDS)/PTO-1449} \] 10.  \[ \text{ Drawing of the prior application Data Sheet under 37 CFR 1.76.} \] 11.  \[ \text{ Drawing of the prior application Data Sheet under 37 CFR 1.76.} \] 12.  \[ \text{ Drawing of the prior application Data Sheet under 37 CFR 1.76.} \] 13.  \[ \text{ Perior application Data Sheet under 37 CFR 1.76.} \] 14.  \[ \text{ Continuation Distance of the accumulation of the prior application New under the prior application under 35 U.S.C. 122 (b)(2)(13)(3). Applicant must attach form PTO/SB/35 or its equivalent.} \] 15.  \[ \text{ Continuation Data Sheet under 37 CFR 1.76.} \] 16.  \[ \text{ Repair of the disclosure of the accumulation of the prior application new under the prior application parts.} \]  17.  \[  Other: Insuration Discourse o				· <b>-</b> ·							
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5. Oath or Declaration  a. Whelly executed (original or copy) b. Copy from a prior application (37 CFR 1.63 (d)) copy from a prior application (37 CFR 1.63 (d)) b. Copy from a prior application (37 CFR 1.63 (d)) copy from a prior application (37 CFR 1.63 (d)) copy from a prior application (37 CFR 1.63 (d)) copy from a prior application (37 CFR 1.63 (d)) copy from a prior application, see 37 CFR (d) copy from a prior application, see 37 CFR (d) copy from a prior application, see 37 CFR (d) copy from a prior application parts attached deleting inventor(s) copy from a prior application under 35 U.S.C. 122 copy from a prior application under	- Abstract of the	Disclosure		10.							
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a. Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63 (d)) cfor confinuationidivisional with Box 18 completed)  1. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1. 76.  Prior application Information:  Examinar  Continuation—In-part (ciP) Prior application Information:  Examinar  Continuation—In-part of the disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation and in a preliminary amendment, or in considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion in the prior application in the submitted application parts.  19			li I	12.							
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16.   Request and Certification under 35 U.S.C. 122 (b)(2)(13)(i). Applicant must attach form PTO/SB/35 or its equivalent.   18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1. 76.,   Continuation   Divisional   Continuation-in-part (CIP)   Of prior application No.:   / Prior application information:   Examiner   Group Art Unit.   For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.   Incorporation can only be relied upon when a portion of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.   Incorporation can only be relied upon when a portion of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.   Incorporation can only be relied upon when a portion of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.   Incorporation can only be relied upon when a portion of the accompanying continuation or divisional application and is hereby incorporated by reference.   Incorporation can only be relied upon when a portion of the disclosure of the prior application and is hereby incorporated by reference.   Incorporation of the disclosure of the prior application and is hereby incorporated by reference.   Incorporation of the disclosure of the prior application and is hereby incorporated by reference.   Incorporation of the disclosure of the prior application and is hereby incorporated by the prior applicat						` ' ' '					
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(Insert Customer No. or Attach bar code label here)  Name Paul J. White National Renewable Energy Laboratory 16 17 Cole Blvd.  City Golden State CO Zip Code 80401  Country USA Telephone 303/384-7575 Fax 303/384-7499		72	712		<u> </u>						
Name Paul J. White National Renewable Energy Laboratory 16 17 Cole Blvd.  City Golden State CO Zip Code 80401  Country USA Telephone 303/384-7575 Fax 303/384-7499	X Customer Number or Bar Co	ode Label (Insert Customer No. or At	tach har code	lahel her		Correspor	idence a	ddress below			
Name         Paul J. White           National Renewable Energy Laboratory           Address         16 17 Cole Blvd.           City         Golden         State         CO         Zip Code         80401           Country         USA         Telephone         303/384-7575         Fax         303/384-7499				label liel	•/						
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Deal College	City	Golden	Star	te	co	Zip (	Code	80401			
Name (Print/Type) Paul J. White Registration No. (AtttorneylAgent) 30,436	Country	USA	Telepho	ne	303/384-7575	F	ax	303/384-7499			
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Signature Date July 28, 2001						v 28. 2001					

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Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12 See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

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Complete if Known						
Application Number	Not Yet Accorded					
Filing Date						
First Named Inventor	Shi-you Ding					
Examiner Name						
Group / Art Unit	Not Yet Accorded					
Attorney Docket No.	NREL 01-36					

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)					
1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  Deposit	Large Fee	DDITION E Entity Fee le (\$)	Small Fee		Fee Description	Fee Paid
Account Number 14-0460	105	130	205	65	Surcharge - late filing fee or oath	
Deposit Account Name Name Name	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Charge Any Additional Charge the Issue Fee Set in	139	130	139	130	Non-English specification	
Fee Required Under 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance	147	2,520	147	2,520	For filing a request for reexamination	
	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
2. Payment Enclosed: Check Money Other	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	115	110	115	55	Extension for reply within first month	
1. BASIC FILING FEE	116	400	216	200	Extension for reply within second month	
	117	950	217	475	Extension for reply within third month	
Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Paid	118	1,510	218	755	Extension for reply within fourth month	
Code (\$) Code (\$)	128	2,060		1,030	Extension for reply within fifth month	
101 790 201 395 Utility filing fee 355.00	119	310	219	155	Notice of Appeal	
106 330 206 165 Design filing fee 107 540 207 270 Plant filingfee	120	310	220	155	Filing a brief in support of an appeal	
108 790 208 395 Reissue filing fee	121	270	221	135	Request for oral hearing	
114 150 214 75 Provisional filing fee	138	1,510		1,510	Petition to institute a public use proceed	ing
	140	110	240	55	Petition to reive - unavoidable	
SUBTOTAL(I) (\$) 355.00	141	1,320	241	660	Petition to reive - unintentional	
2. EXTRA CLAIM FEES Fee from	142	1,320	242	660	Utility issue fee (or reissue)	
Extra Claims below Fee Paid  Total Claims 65 -20*45 x & 0 405 00	143	450	243	225	Design issue fee	
Independent	144	670	244	335	Plant issue too	
Claims 18 -3"= 15 × \$40 600.00	122	130	122	130	Petitions to the Commissioner	
Multiple Dependent	123	50	123	50	Petitions related to provisional application	ns
*or number previously paid, if greater; For Reissues, see below Large Entity Small Entity	126	240	126	240	Submission of Information Disclosure St	tmt
Fee Fee Fee Fee Description Code (\$) Code (\$)	581	40	581	40	Recording each patent assignment per property (times number of properties)	
103 22 203 11 Claims in excess of 20 102 82 202 41 Independent claims in excess of 3	146	790	246	395	Filing a submission after final rejection (37 CFR 1. 1 29(a))	
104 270 204 135 Multiple dependent claim, if not paid	149	790	249	395	For each additional invention to be	
109 82 209 41** Reissue independent claims over original patent	Other	fee (spe	cify) _		examined (37 CFR 1. 1 29(b))	_
110 22 210 11** Reissue claims in excess of 20 and over original patent	Other	fee (spe	cify)_			_
SUBTOTAL (2) (\$) 1005.00 Reduced by Basic Filing Fee Paid SUBTOTÁL (3) (\$)						
SUBMITTED BY					Complete (it a	applicable)
Typed or Printed Name Paul J. White, Senior Patent	Coun	sel			Reg. Number	30,436
Signature Hand, What	to		{	Date 0	7/27/01 Deposit Account User ID	

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